

APPENDIX R
REPORTING OFFENSES AND VIOLATIONS

R-1. Purpose. This appendix delineates explicitly that which constitutes an offense and violation of this reporting requirement.

R-2. Offenses and Violations of Reporting Requirement.

- a. Failure to submit a required report.
- b. Failure to provide a timely, accurate, and complete report.
- c. Failure to submit monthly listings of idle vessels or vessels in transit.
- d. Failure to submit a report required by the lock master or canal operator.

R-3. Penalties for Failure to Report. The following penalties for non-compliance can be assessed for offenses and violations.

a. Criminal Penalties. Every person or persons violating the provisions of this regulation shall, for each and every offense, be liable to a fine of not more than \$5,000, or imprisonment not exceeding two months, to be enforced in any district court in the United States within whose territorial jurisdiction such offense may have been committed.

b. Civil Penalties. Any person or entity that fails to provide timely, accurate, and complete statements or reports required to be submitted by this regulation may, in addition to the criminal penalty, also be assessed a civil penalty of up to \$2,500 per violation under 33 USC 555, as amended.

c. Denial of Passage. In addition to these fines, penalties, and imprisonments, the lockmaster or canal operator can refuse to allow vessel passage.